



**NTNU – Trondheim**  
Norwegian University of  
Science and Technology



# **Environmental Challenges and Options for Deep-sea Shipping**

Kevin Koosup Yum  
Trial lecture for Ph.D. defence  
Department of Marine Technology  
24 August 2017

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- Motivation
- Nature of Deep-sea Shipping
- Pollutions from Deep-sea Shipping and Challenges
- Closer Look At Options to Tackle the Challenges
- Pathway to CO<sub>2</sub> reduction and Case Studies
- Summary



## Health risks of shipping pollution have been 'underestimated'

One giant container ship can emit almost the same amount of cancer and asthma-causing chemicals as 50m cars, study finds

● Climate change threatens 50 years of progress in global health, st



This article is 8 years old

8,222

John Vidal, environment editor

Thursday 9 April 2009 15.50 BST



90,000 cargo ships travel the world's oceans. Photograph: Peter Maenhoudt/AP

## The Guardian (2009)

## The Independent (2017)

### Air quality on cruise ship deck 'worse than world's most polluted cities', investigation finds

'Each day a cruise ship emits as much particulate matter as a million cars'

Chloe Farand | Monday 3 July 2017 23:16 BST | 39 comments



Click to follow The Independent Online

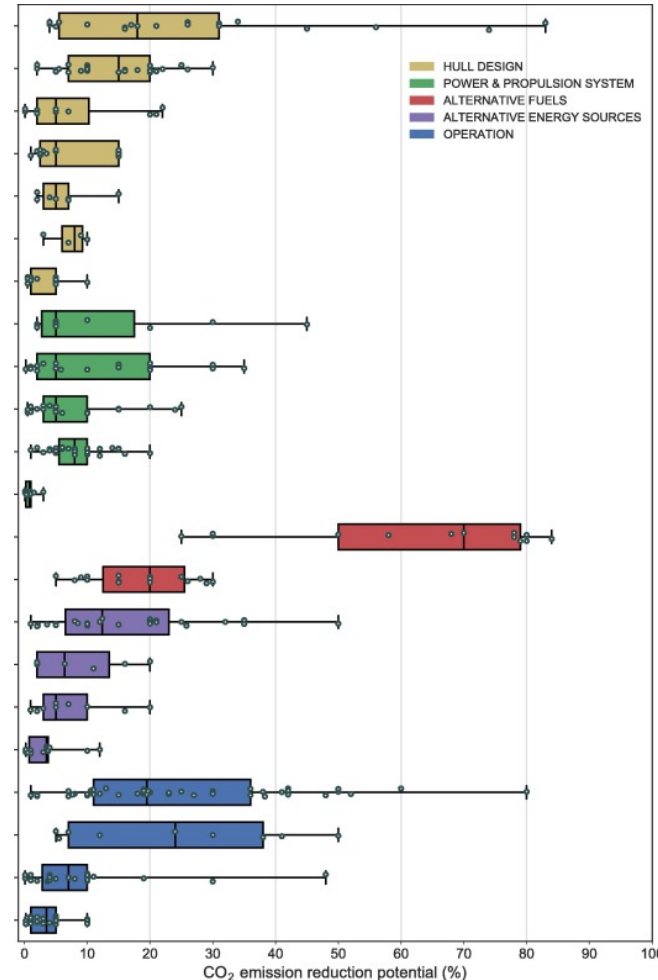


Passengers sun bathing on the deck of a cruise ship could be exposed to worst air pollution than in some of the world's most polluted cities. Shutterstock

# It is possible to reduce emissions per freight transport unit by 75% and above up to 2050

Bouman, E. A., Lindstad, E., Riialand, A. I., & Strømman, A. H. (2017). State-of-the-art technologies, measures, and potential for reducing GHG emissions from shipping – A review. *Transportation Research Part D*, 52, 408–421.

Vessel size  
Hull shape  
LW materials  
Air lubrication  
Resist. red. device  
Ballast water reduction  
Hull coating  
Hybrid power/propulsion  
Power system/machinery  
Prop. efficiency devices  
Waste heat recovery  
Onboard power demand  
Biofuels  
LNG  
Wind power  
Fuel cells  
Cold ironing  
Solar power  
Speed optimization  
Capacity utilization  
Voyage optimization  
Other operational meas.

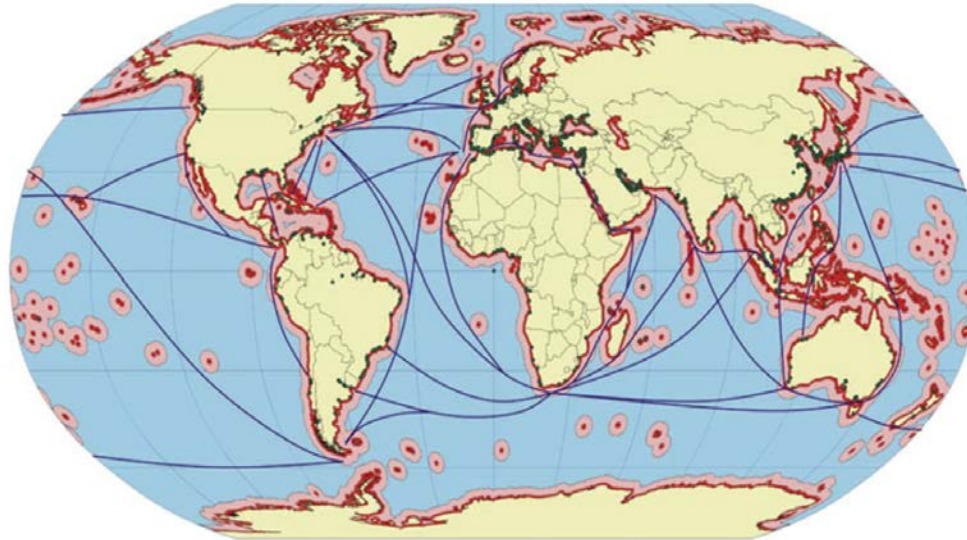




# **Nature of Deep-Sea Shipping**

# Deep-sea Shipping

- Deep-sea Shipping
  - Maritime transport of goods on intercontinental routes, crossing oceans, as opposed to short sea shipping



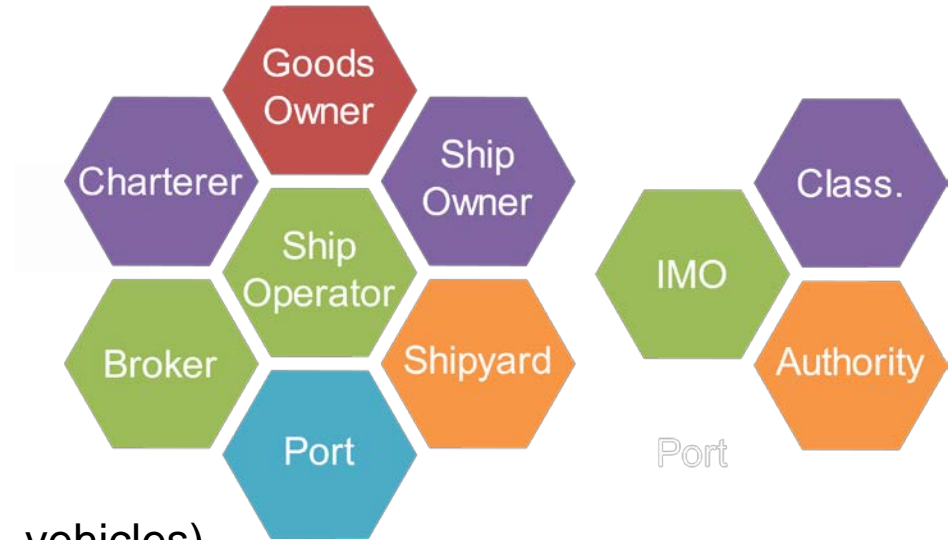
David et. Al. (2015)

# Key Figures

- Transportation of **80~90%** world's total commerce in tonnage
- Container liner carry **30%** of global ton-miles, yet **80%** of the total value of shipment
- Longest oil tanker **458.46m**, Longest container ship **400m**, Bulk carrier **363m**, LNG Carrier **345m**
- The biggest engine 14RT-FLEX 96C **80,080 kW**
- Responsible for **938MT CO<sub>2</sub>** in 2012 (**2.6%** global emissions) and **18.6MT NO<sub>x</sub>** (**13%**) and **10.6MT SO<sub>x</sub>** (**12%**).

# Characteristics of the Market

- Market demands driven by macroeconomic trends in global imports and exports
- International competition
- Many players involved
- Conservatism
- Different type of business
  - Liner (Containers, passengers, vehicles)
  - Chartering (Permanent, Time, Spot)





# Characteristics of operation

- Long voyages
- Distinctive operational modes but majority of energy used in the voyages
- Constant speed for its majority of operation
- Risk of being exposed to extreme weather
- Voyages in ballast mode
- Maintenance during the voyage

# **Pollution from the shipping and challenges**

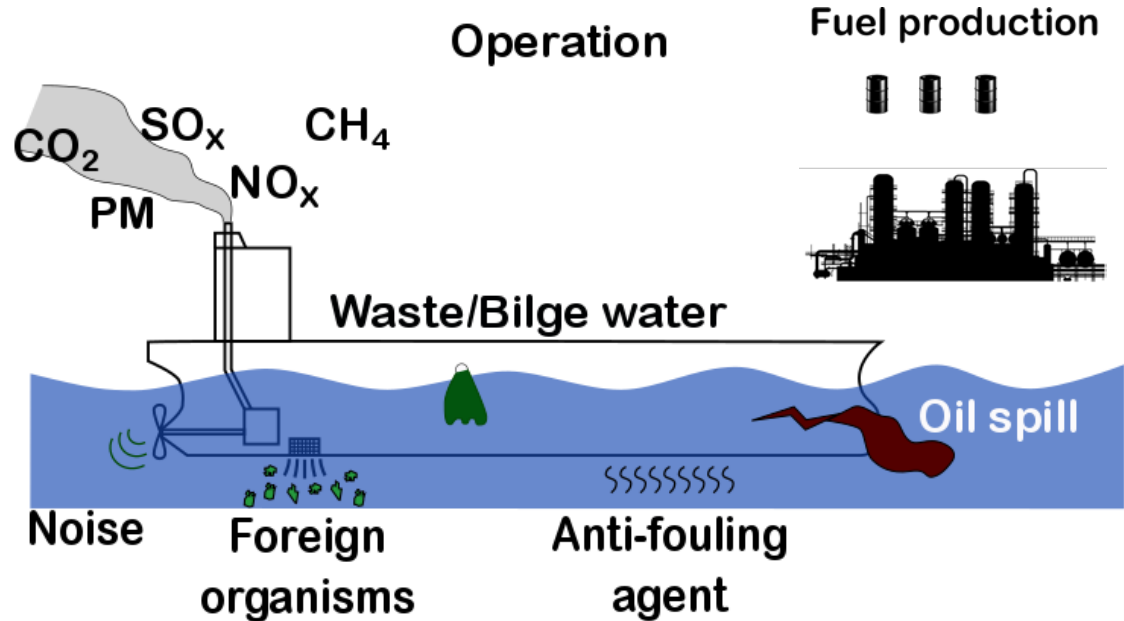


# Pollution from Shipping

Shipbuilding



Recycling



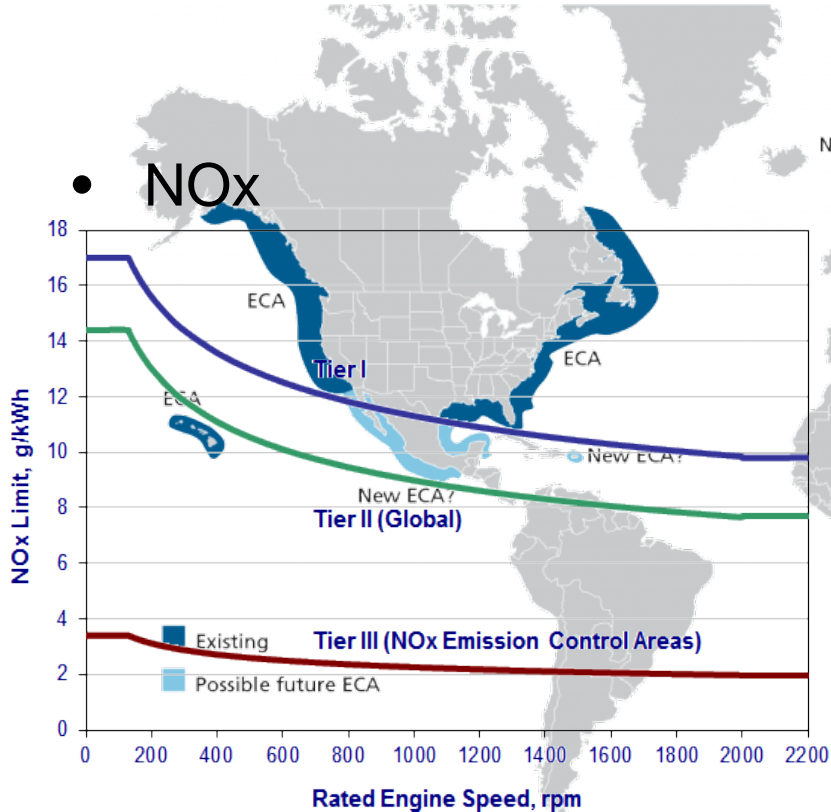
**IMO Regulations**

# IMO Regulations on Water Pollution

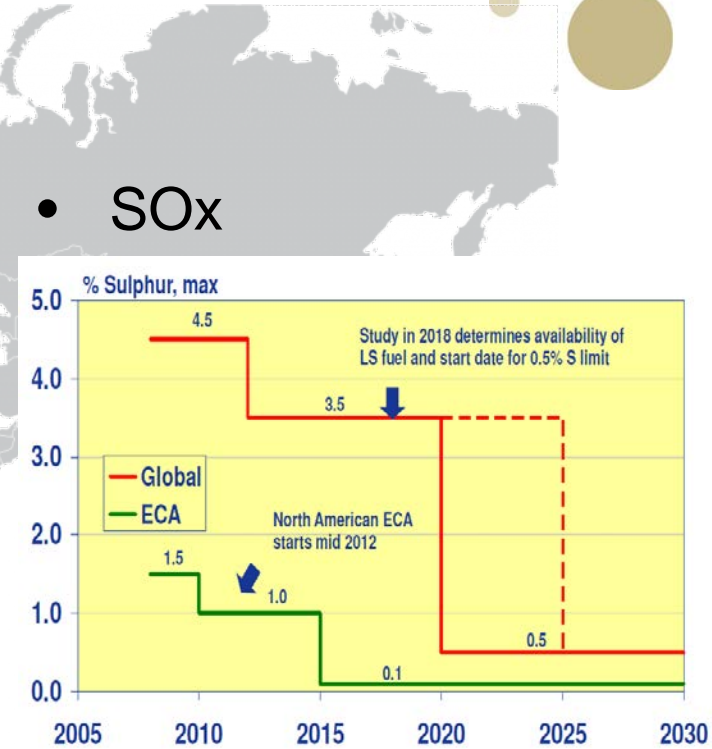
- Invasion of foreign species
  - Ballast water convention: Ballast treatment system
  - Bio-fouling convention: Anti-fouling and maintenance practice
- Oil spill
  - MARPOL: Segregated ballast tank and double hull tankers
- Discharge of Sewage / Bilge water
  - Annex IV of MARPOL: Prohibition of discharge of sewage nearby or use of sewage treatment plant
  - MARPOL: Discharge of bilge and cleaning water from COT through oily water separator or oily discharge and monitoring system
- Anti-fouling system
  - Anti-fouling convention: Prohibition of the use of harmful organotin compounds in anti-fouling paints

# IMO Regulations on Harmful Gas Emissions

## • NOx



## • SOx



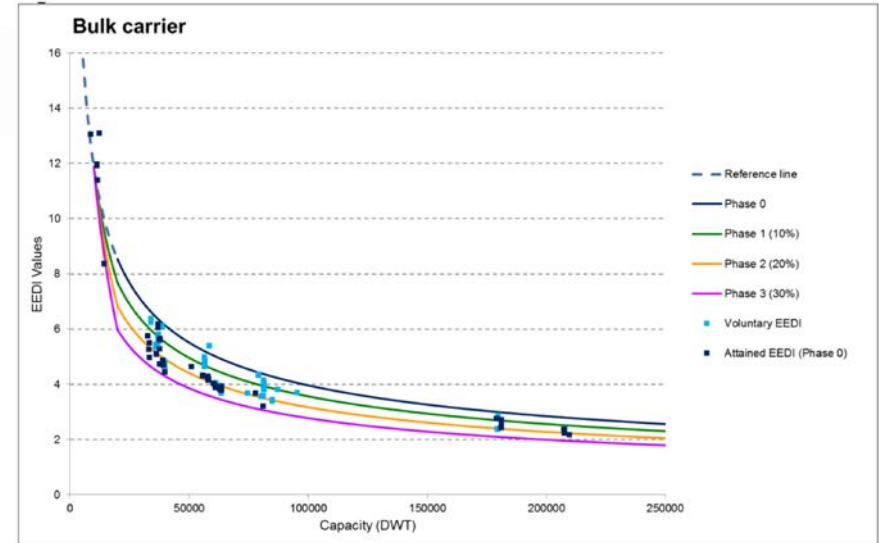
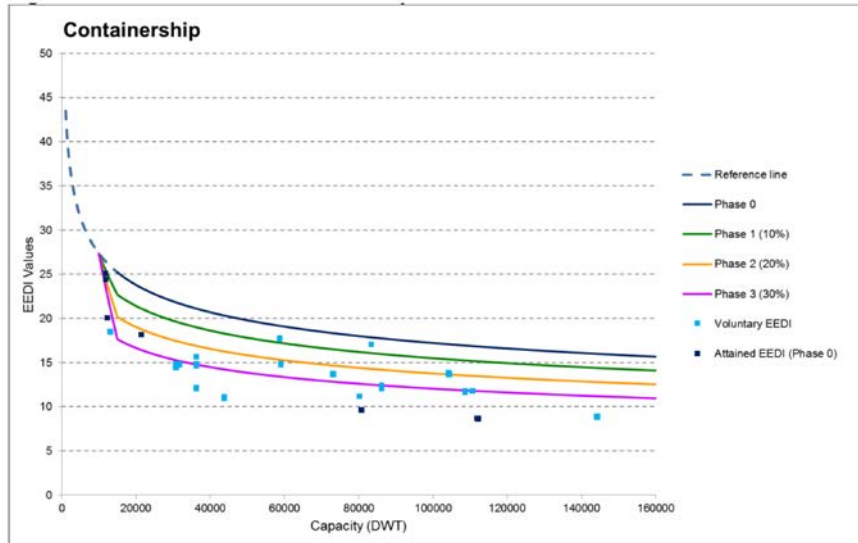
# IMO Regulations on CO<sub>2</sub> (Green house gas)

- EEDI

$$\left( \prod_{j=1}^n f_j \right) \left( \sum_{i=1}^{nME} P_{ME(i)} \cdot C_{FME(i)} \cdot SFC_{ME(i)} \right) + (P_{AE} \cdot C_{FAE} \cdot SFC_{AE} *) + \left( \left( \prod_{j=1}^n f_j \cdot \sum_{i=1}^{nPTI} P_{PTI(i)} - \sum_{i=1}^{neff} f_{eff(i)} \cdot P_{AE_{eff}(i)} \right) C_{FAE} \cdot SFC_{AE} \right) - \left( \sum_{i=1}^{neff} f_{eff(i)} \cdot P_{eff(i)} \cdot C_{FME} \cdot SFC_{ME} ** \right)$$


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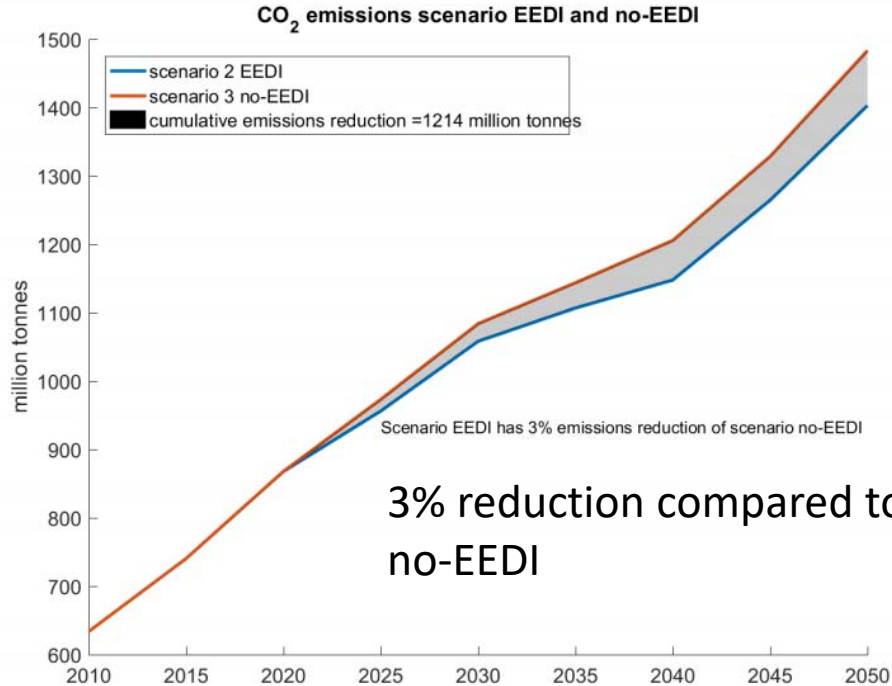

$$f_i \cdot f_c \cdot f_l \cdot Capacity \cdot f_w \cdot V_{ref}$$



EEDI Database for container ship and bulk carrier (from MEPC 68 INF. 13)

# Will EEDI solve the GHG challenges?

*Smith et al. (2016)*



- Limitation
  - Only applies to newbuilds
  - EEDI calculated for a single load case
  - Economic driver to improve fuel efficiency nevertheless

# How much is international shipping responsible?

- "Fair share"

"Shipping will make its fair and proportionate contribution towards realizing the objectives that [the UNFCCC] and the global community pursue"

MEPC 63/5/5, Outcome of the United Nations Climate Change Conference held in Durban, South Africa from 28 November to 11 December 2011, Note by the Secretaria

- How much is fair then?

*Smith et al.(2016)*

Principle	Stricter	Relaxed
Responsibility	18GT	33GT
Egalitarian	23GT	79.3GT

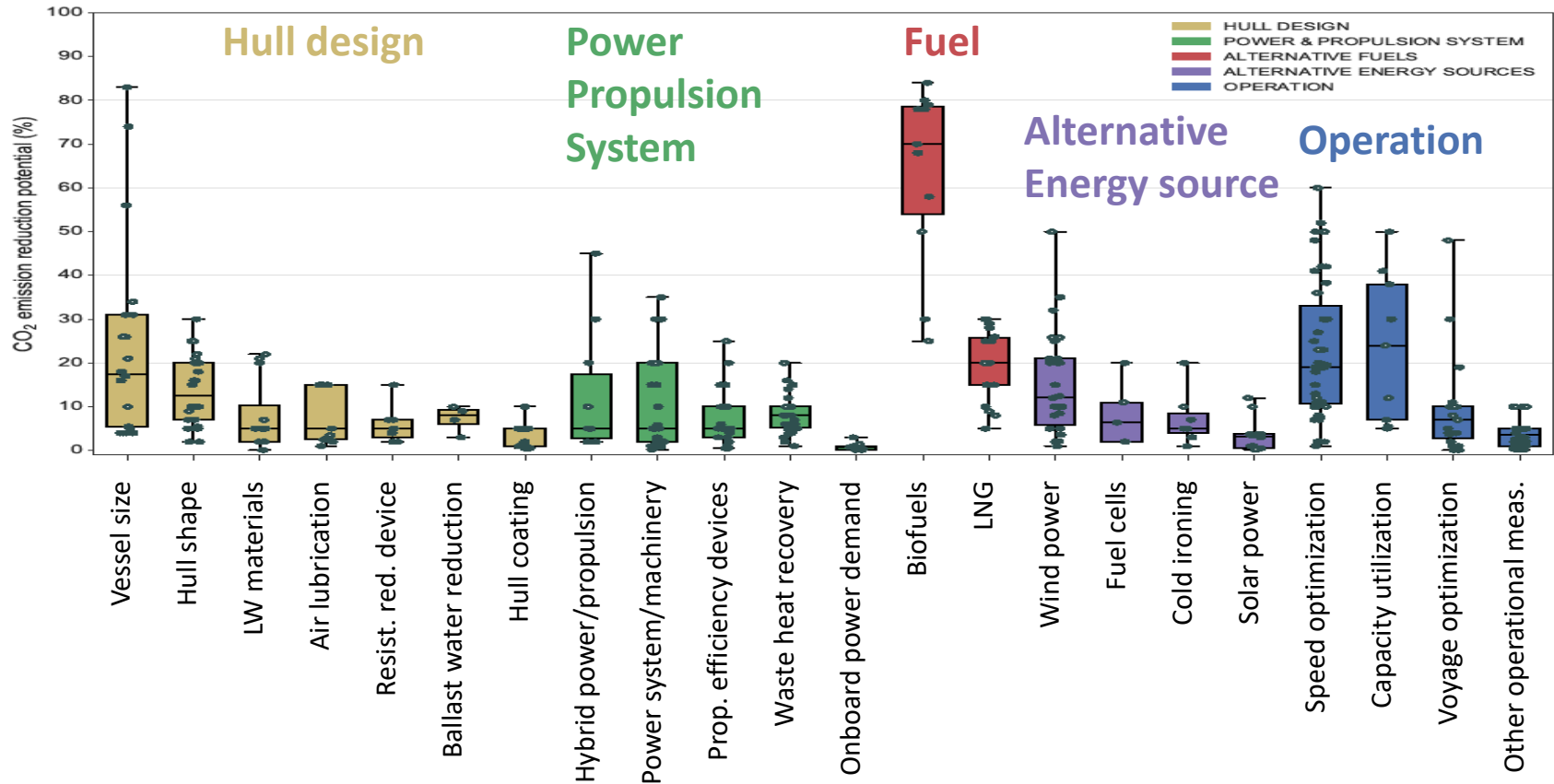
Responsibility principle: 1.5° target / 2° target

Egalitarian principle: Developed country / Developing country

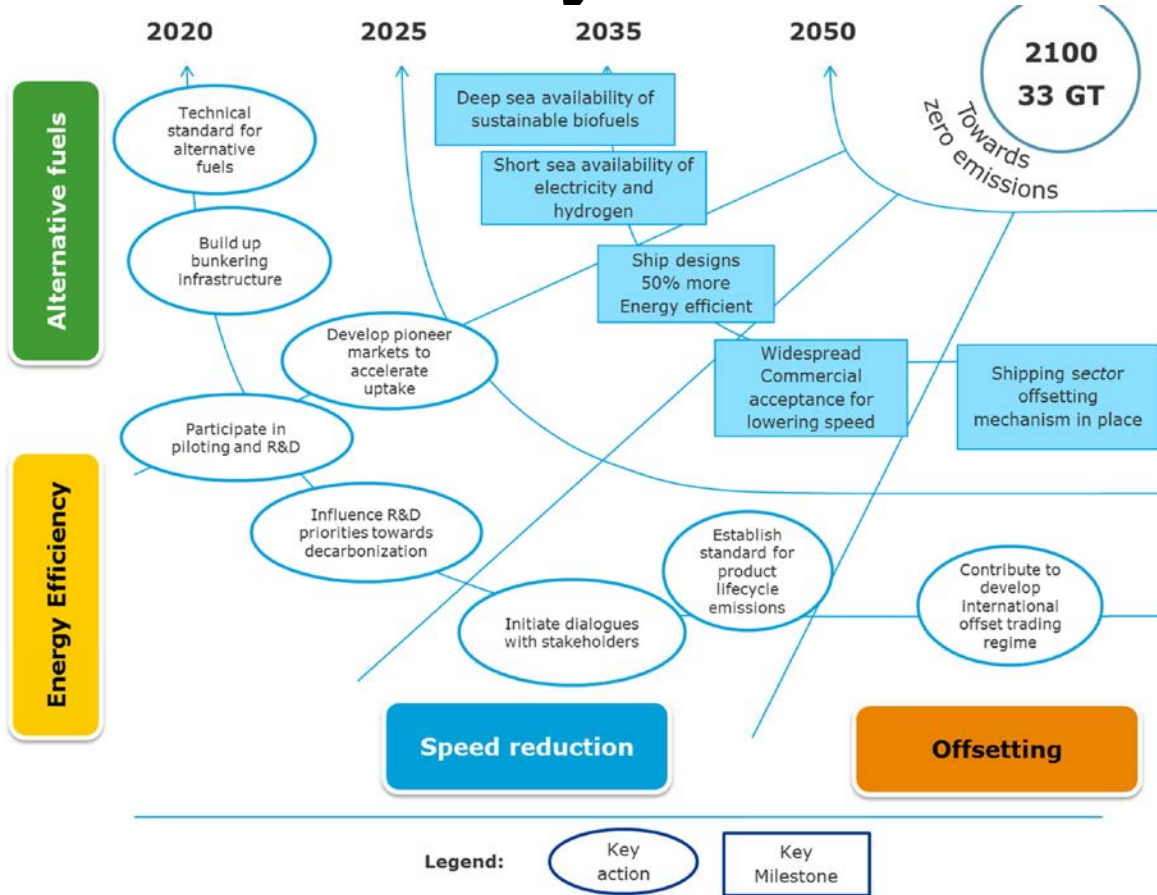


# Options to reduce GHG

Bouman et al.(2017)



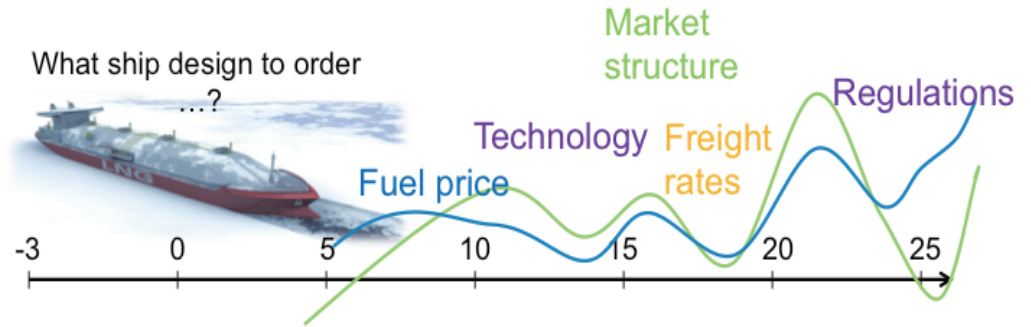
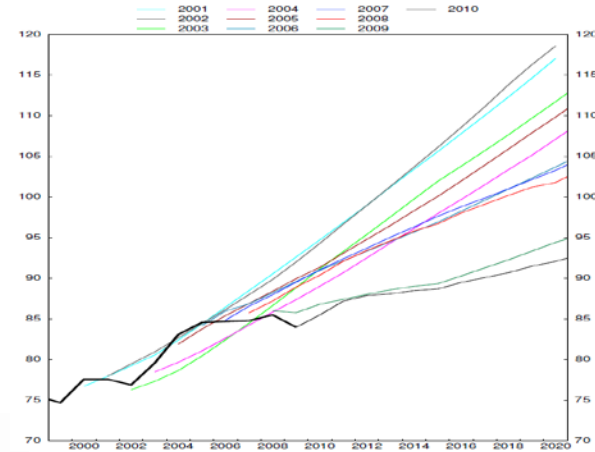
# Possible Pathways



Eide et. al (2017)

# Real Challenges

- Complexity
  - Combinations of the options
  - Interaction between the options
  - Operational profiles
  - Market Scenarios
- Uncertainties
  - Fuel price
  - World economy
  - Disruptive technologies
- Long-term horizon for prediction



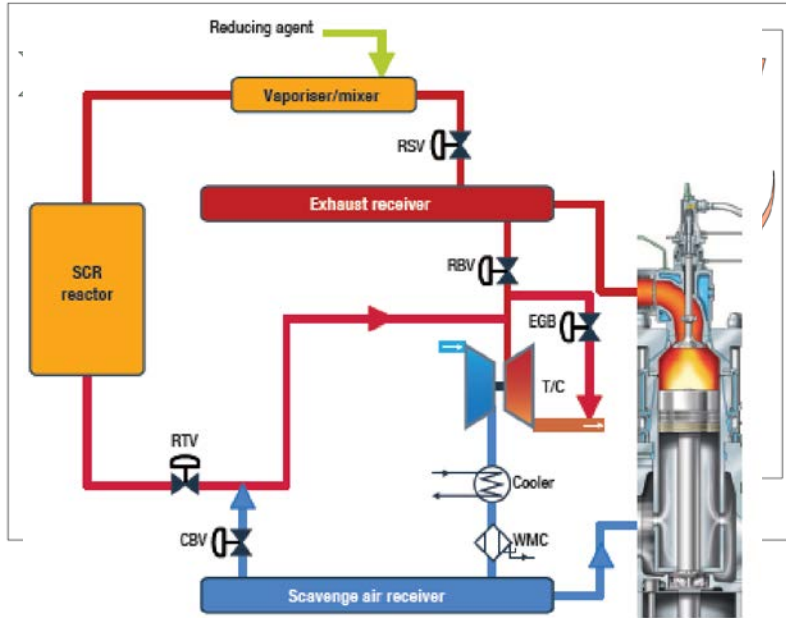
Erikstad et al. (2015)

# **Closer look at the options for Reduction of Gaseous Emissions**

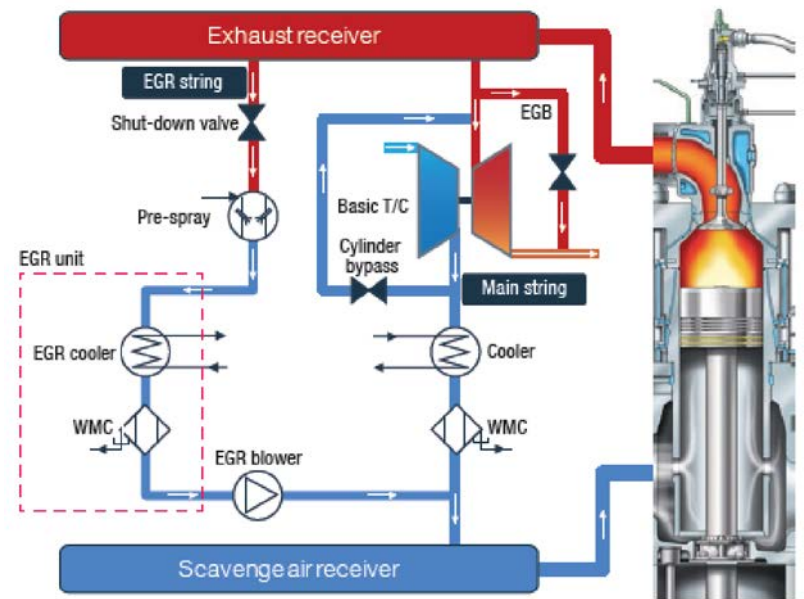


# Options for NOx emissions

## SCR Technology

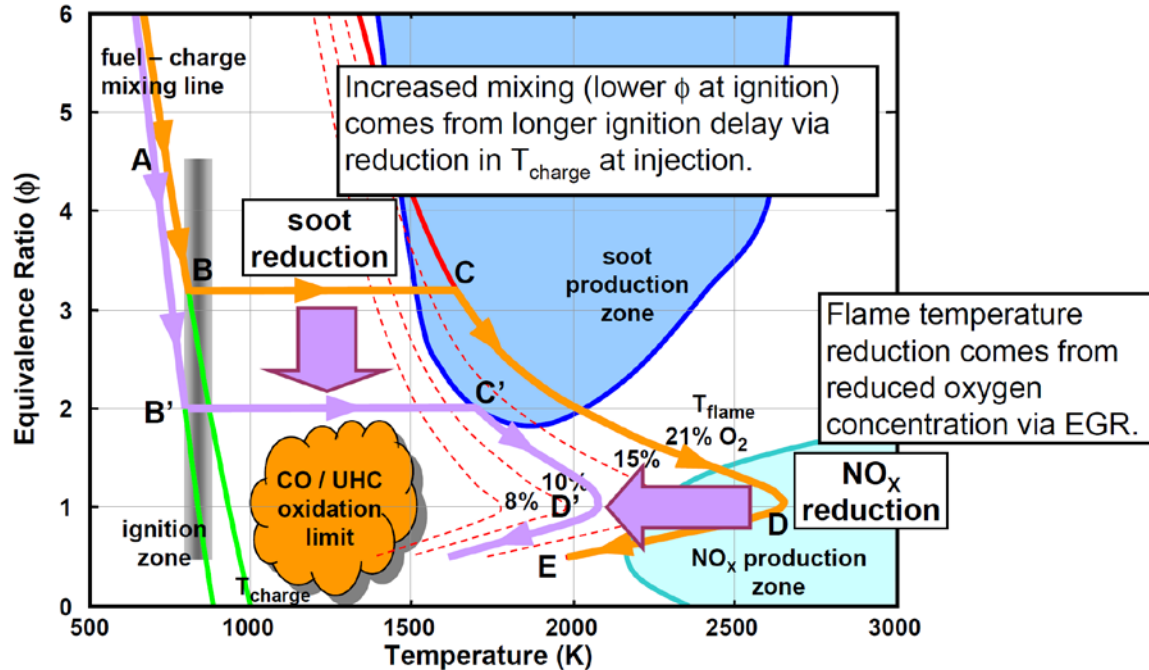


## EGR Technology



# Options for NOx emissions and Soot

- Engine modification



Fuel injection characteristics

Variable compression ratio

Exhaust gas recirculation

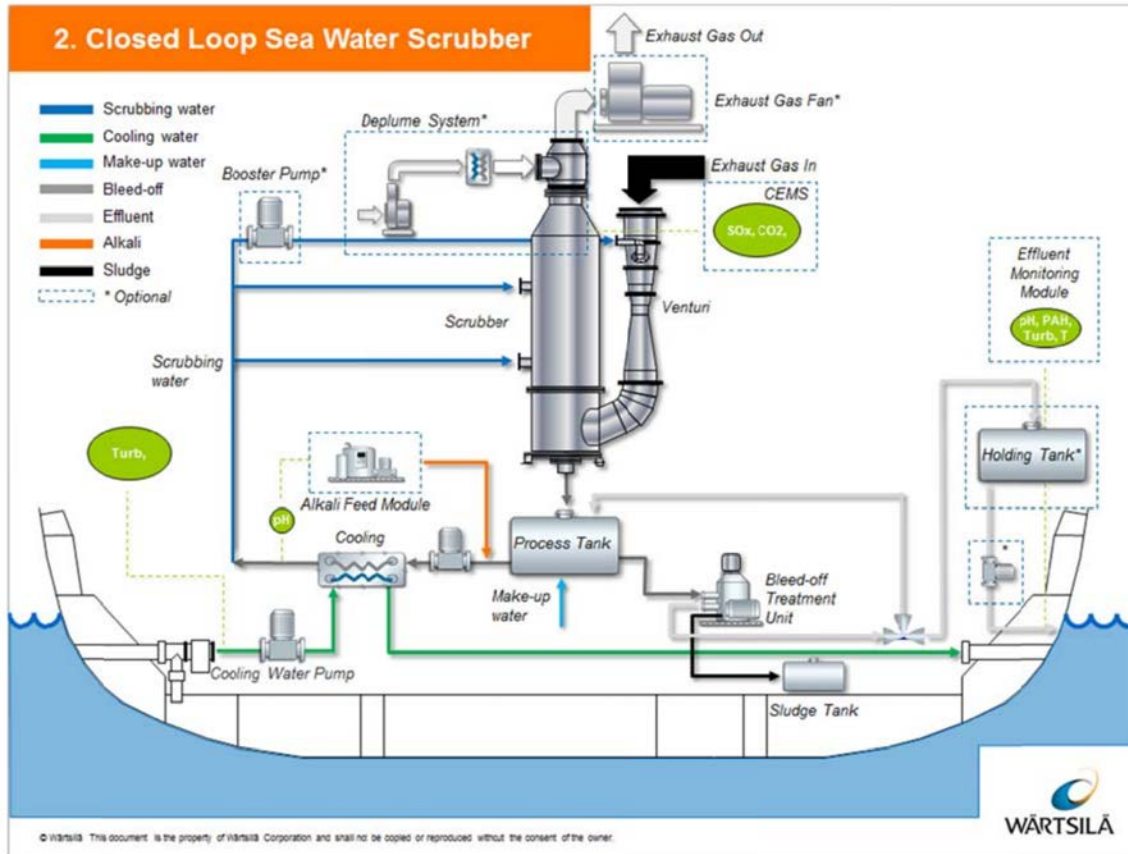
Advanced combustion

- PCCI, HCCI

Potter, M., & Durrett, R. (2006). Design for compression ignition high-efficiency clean combustion engines. *12th Annual Diesel Engine Emissions Reduction (DEER) Conference*.

# Options for SOx Emissions

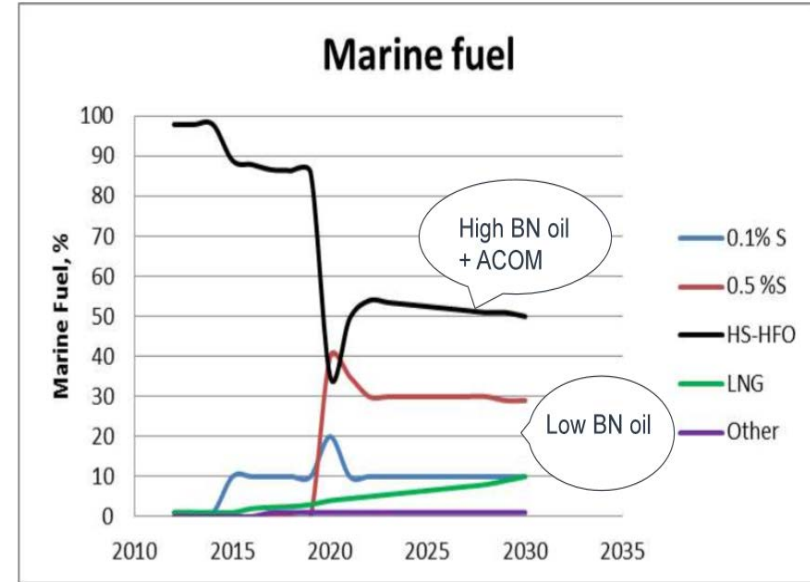
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# Options for emissions from the power system

- Fuel and technology options

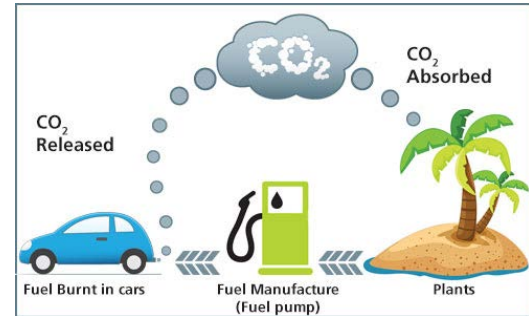
Options	HFO	MGO LSHFO	Gas	Surphur free fuel
Scrubber	O			
EGR/SCR	O	O		
2-stage TC	O	O		
DF			O	O





# Options for emissions from the power system

- Bio-fuel
  - The biggest question is the availability.
    - Technical, ethical challenge



**Table 8: Global biomass resource sizes**

Source	Definition	Value in 2050	Classification
IEA	Maximum technical potential	1500 EJ	High band
IEA	Low risk potential	475 EJ	Medium band
TIAM-UCL	High scenario	236 EJ	Medium Band
GET Chalmers	Base-case	200 EJ	Medium Band
IEA Roadmap	BLUE Map Scenario	145 EJ	Medium Band
TIAM-UCL	CCC estimate	38 EJ	Low Band
TIAM-UCL	Limited scenario	9 EJ	Low Band

**Global supply 500 EJ in 2009**

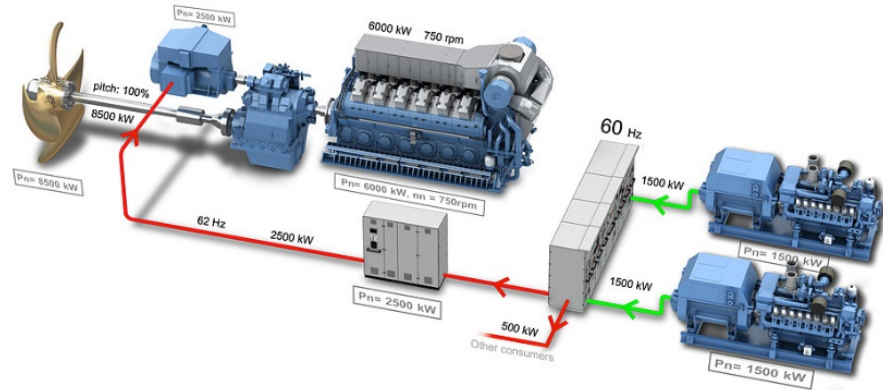
# Options for emissions from the power system

- Hybrid Power / Propulsion System

$$P_{\text{prod}} \cong P_{\text{cons}}$$

$$P_{\text{prod}} \cong P_{\text{cons}} + P_{\text{ESS}}$$

Spinning reserve  
Power smoothing  
Load smoothing  
Load shifting  
Peak shaving



Courtesy of Rolls-Royce (<https://www.rolls-royce.com>)

# Options for emissions from the hull

- Hull design and resistance reduction measures
  - Larger vessels
  - Slender hulls
  - Reduced block coefficient
  - Air lubrication
  - Energy saving device
    - Fins
    - Pre-swirl device
    - Propeller Boss Cap Fins
    - Propeller duct

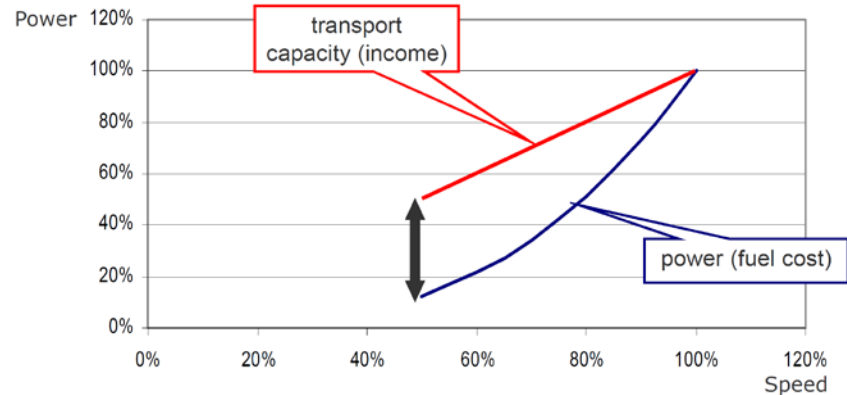


# Options for emissions from operation

- Reduced speed

$$P_{\text{Prop}} = \frac{\Delta^{2/3} V^3}{C}$$

- Increased utilization
- Larger vessels
- Weather routing
- Alternative sea routes



# Options for emissions from business

- Offsetting
  - Buying the carbon offsets from other sector
  - Possible buffer while barriers for other solution are lowered
  - Price trend

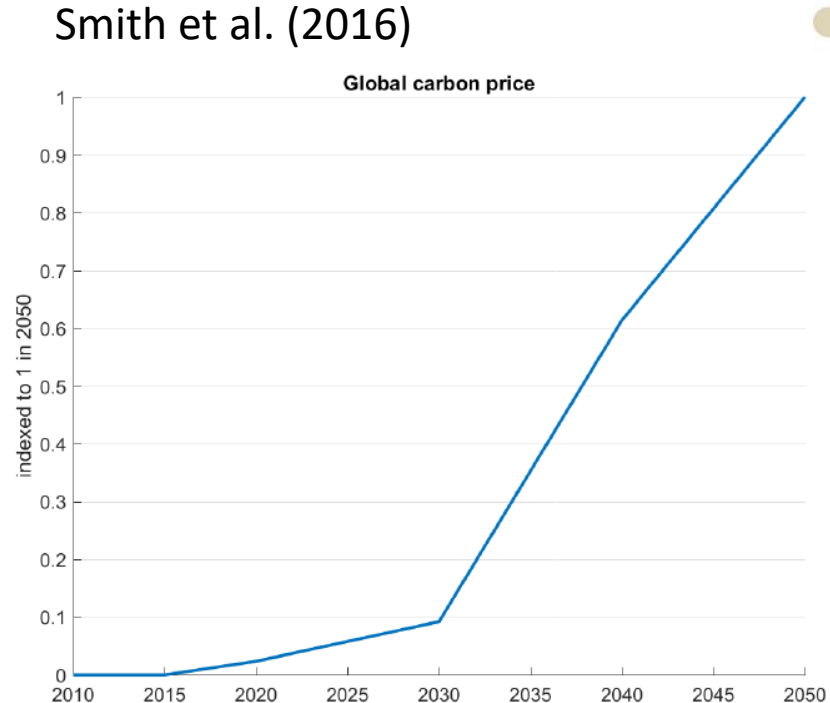


Figure 12: Global carbon price consistent with a 2 degree temperature rise target, as estimated by TIAM UCL



# Pathway to CO<sub>2</sub> reduction and Case Studies

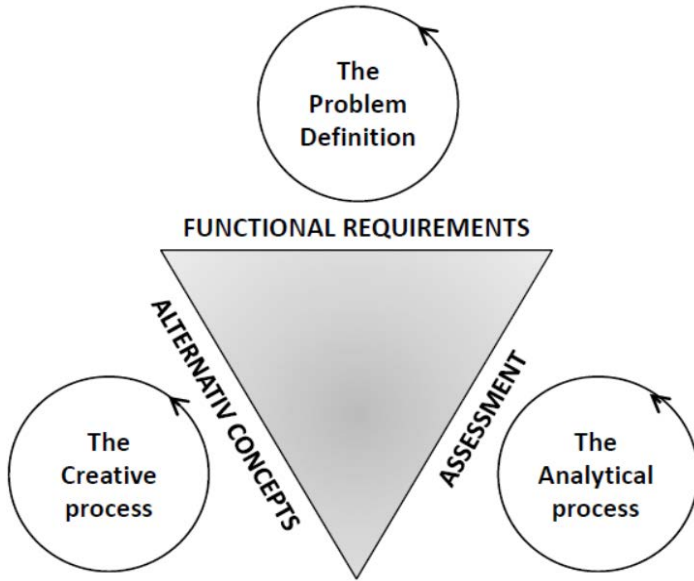
# Pathway for CO<sub>2</sub> reduction

- Study status quo in a regular basis
  - IMO GHG Study 2000, 2009, 2014
  - EU, IMO Monitoring, Reporting and Verification of fuel consumption
- Develop scenarios and strategies
- Model CO<sub>2</sub> emissions on various levels
- Evaluate options
- Apply regulations and strategies

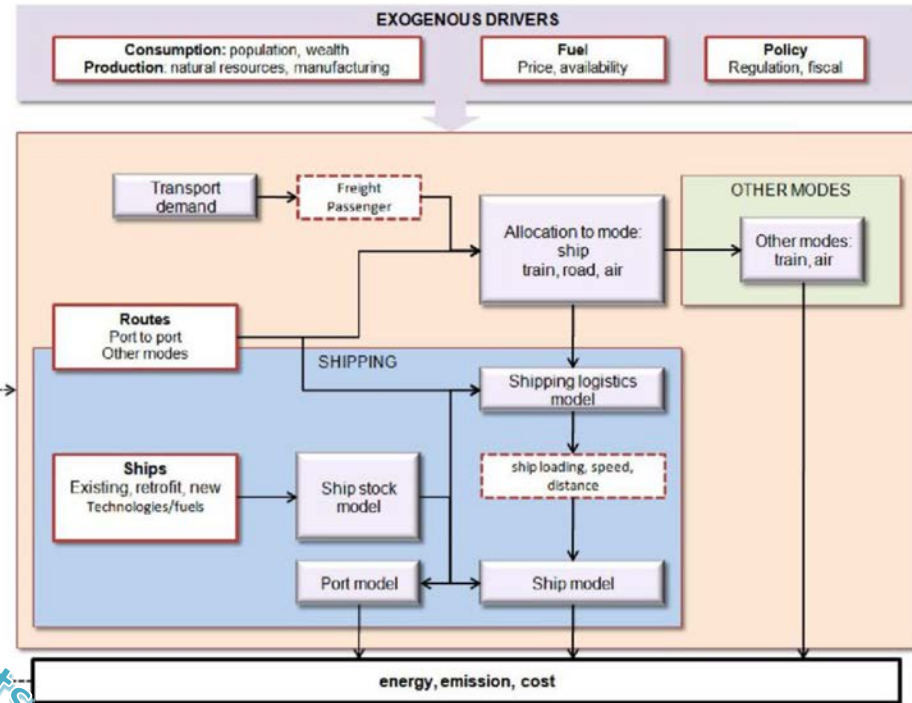


# Tools for Analysis and Decision Support

- Design thinking and multi-level analysis



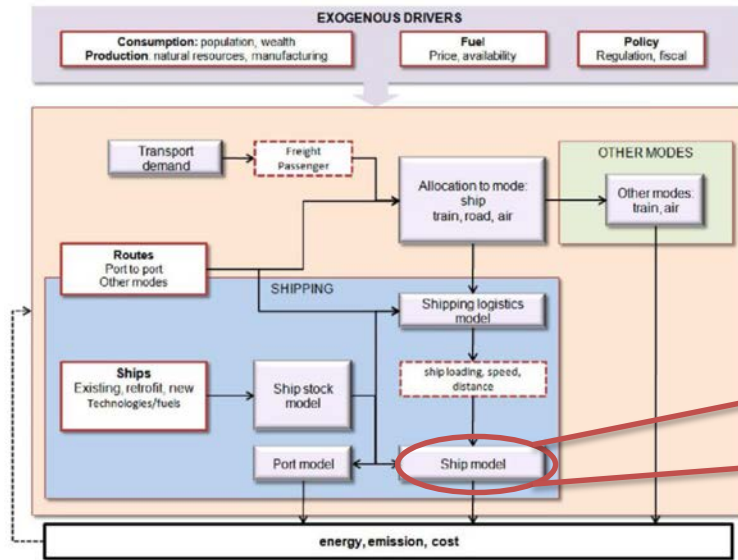
Market  
Logistics  
Ships  
Equipments



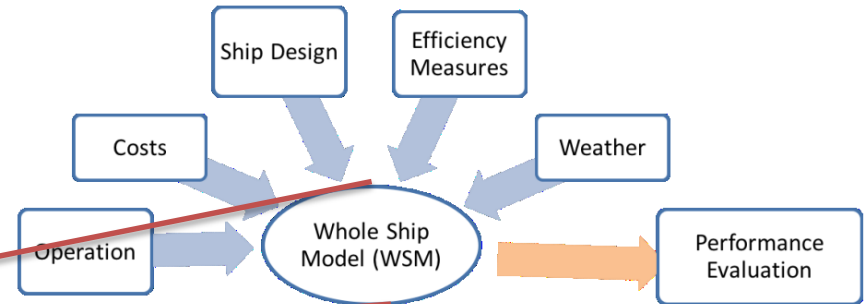


# Case Studies - CO2 Emissions from International Shipping (Smith et. al, 2016)

- Models



System dynamics model



Ship Concept Model

# Case Studies - CO2 Emissions from International Shipping (Smith et. al, 2016)

- Possible scenarios

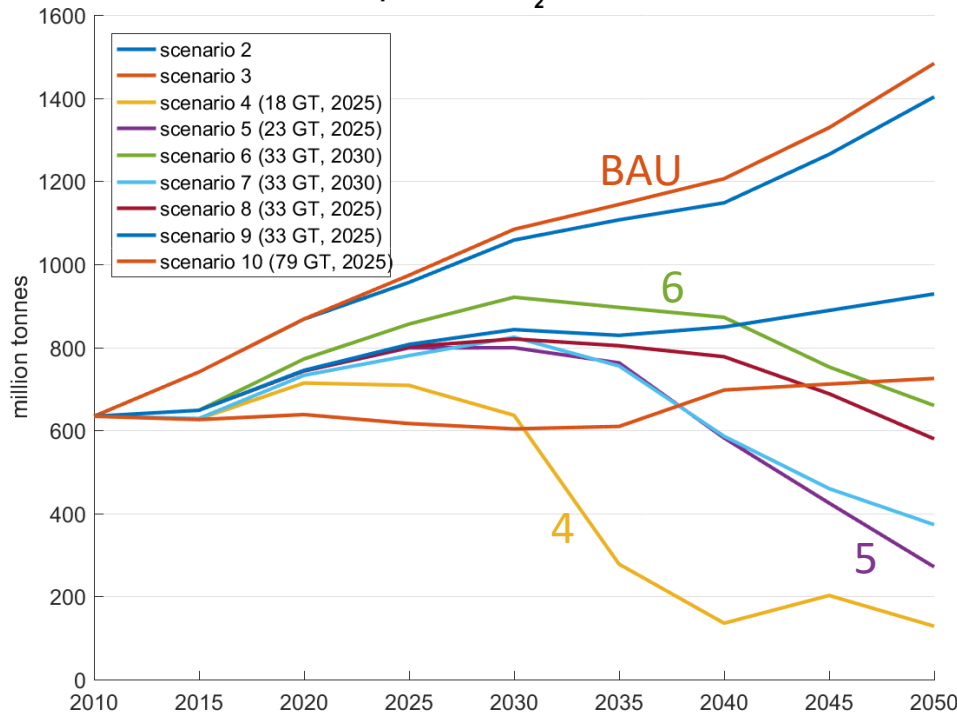
	Regulation Scenario		Techno economic						
	Fair share	Offsets	Fuel options	Fuel price	Biofuel	Speed reduct.	NPV year	Barrier	Tech. cost
BAU	-	-	All fuels except H2	2-degree price	Lower bound	Very limited	3	50%	Full
4	18GT	0	All fuels	2-degree price	Mid-range	Relaxed	3	50%	Full
5	23GT	20%	All fuels	2-degree price	Mid-range	Limited	3	50%	Full
6	33GT	20%	All fuels	2-degree price	Lower-bound	Limited	3	50%	Full

MR Tanker, Panamax BC, 5000TEU Container ship, Very Large Container ship as representative ships

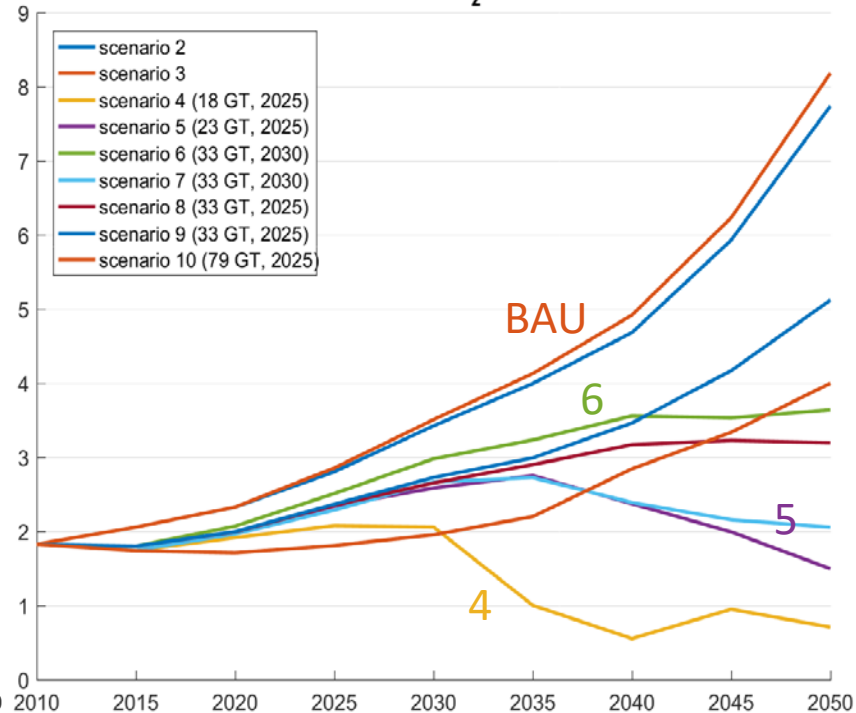
# Case Studies - CO2 Emissions from International Shipping (Smith et. al, 2016)

- Results

Operational CO<sub>2</sub> emissions



Shipping share CO<sub>2</sub> emissions



# Case Studies - CO2 Emissions from International Shipping (Smith et. al, 2014)

- Conclusion
  - EEDI alone will hardly be an enough measure to meet the Paris Agreement.
  - The study recommends
    - The net emissions will need to peak in 2025
    - Absolute emission reductions should amount to 400MT by 2050
  - In order to achieve absolute emissions reduction, shipping needs to reduce its average carbon intensity by more than can be achieved through energy efficiency improvements alone

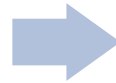
# Case studies – Assessment of cost as a function of abatement options (Lindstad, 2015)

- Objective
  - Find a functional relationship between the key parameters (engine size, fuel price, annual fuel consumption in ECA area) and the additional cost for abatement options

- Method

## Dataset

- Voyage profile
- Vessel performance curve
- Specific fuel consumption
- Fuel cost
- CAPEX cost for options
- Other operating cost



## Models

$$C_a = C^{ECA} \cdot F^{ECA} + C^0 \cdot F^0 + C_v^{CAPEX}$$

$$F^0 = K_f^0 \cdot \left( \sum_{\substack{i=1 \\ i \notin ECA}}^n \frac{D_i}{v_i} \cdot P_i \right)$$

$$F^{ECA} = K_f^{ECA} \cdot \left( \sum_{\substack{i=1 \\ i \notin ECA}}^n \frac{D_i}{v_i} \cdot P_i + T_{lwd} \cdot P_{aux} \right)$$

$$P_i = \frac{P_s + P_w + P_a}{\eta} + P_{aux}$$

# Case studies – Assessment of cost as a function of abatement options (Lindstad, 2015)

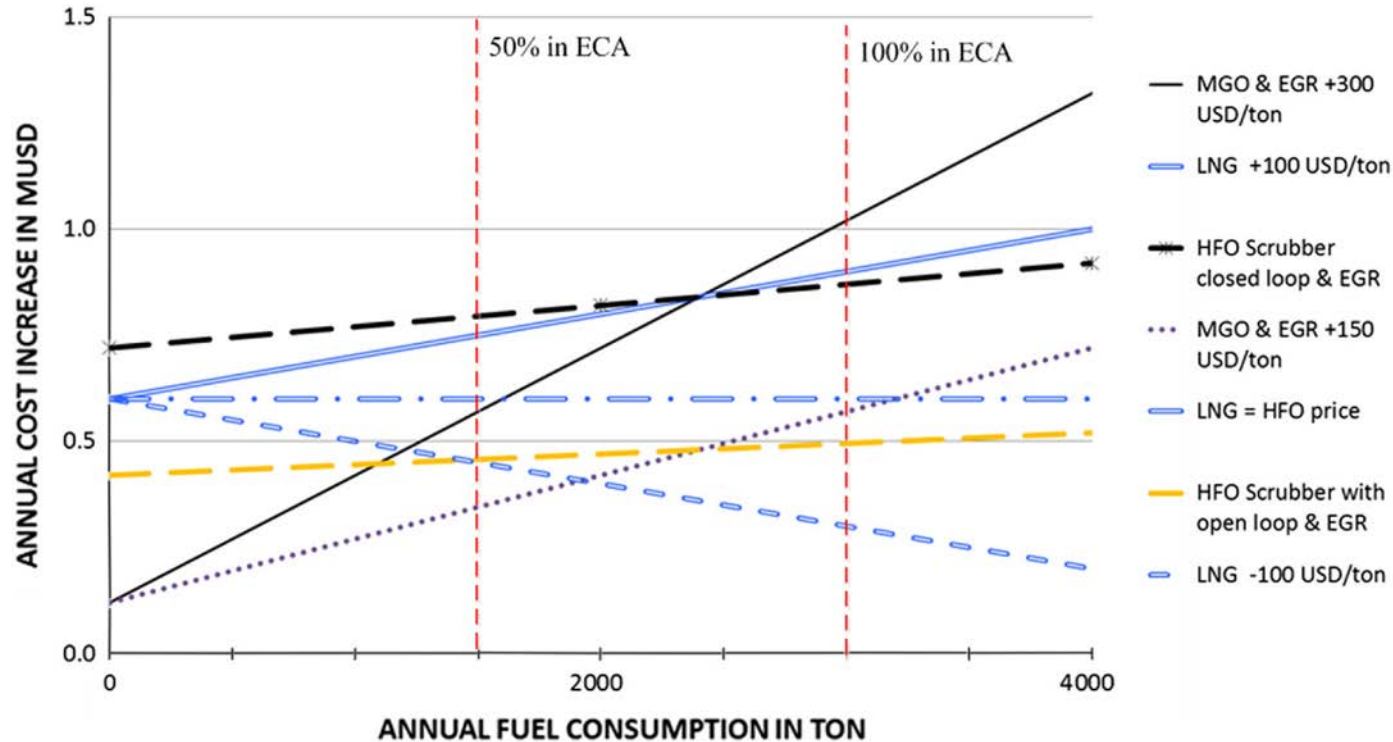
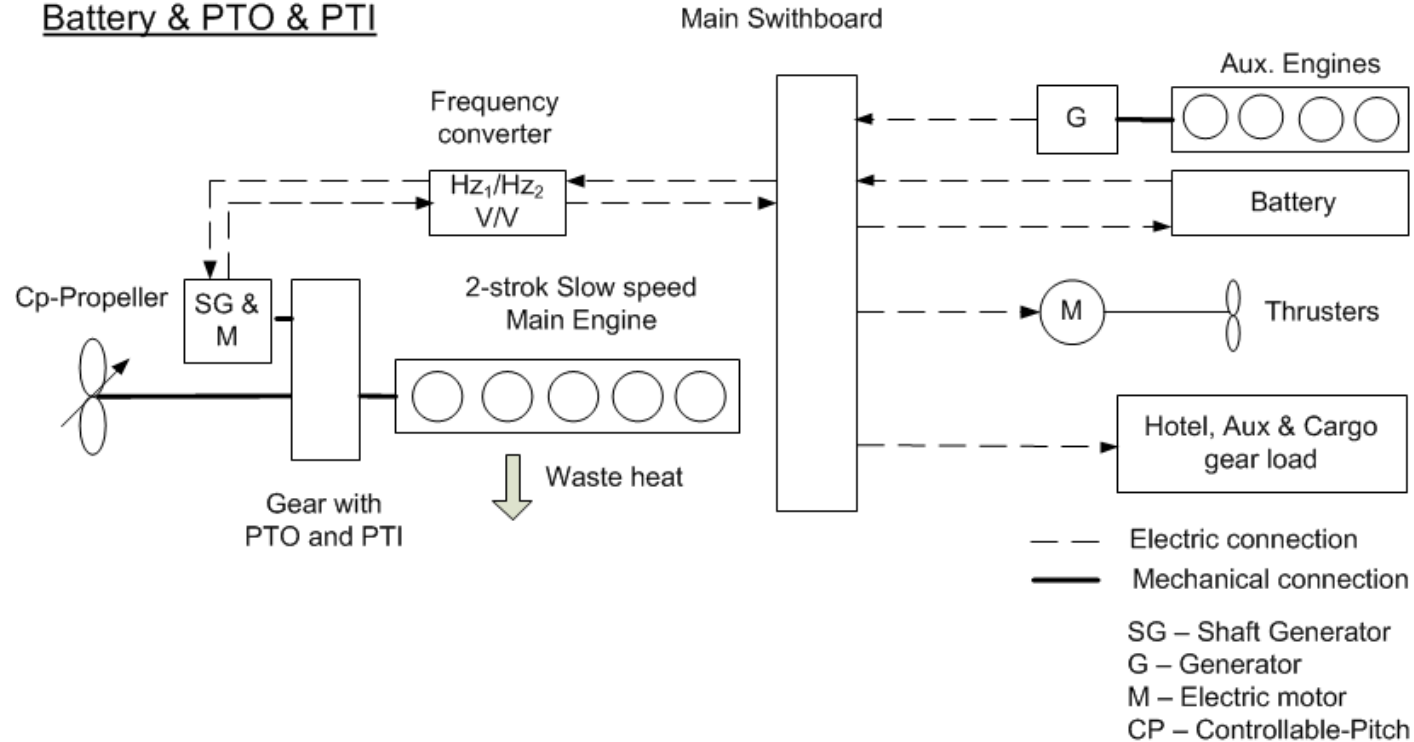


Fig. 3. New-built vessels with 4000 kW engine in Sulfur and Nitrogen ECA.

# Case studies – Assessment of cost as a function of abatement options (Lindstad, 2015)

- Conclusion
  - 6 ~ 15% annual cost increase with the best abatement options
  - No single answer for the best option but a function of engine size, annual fuel consumption in ECA and future fuel price
  - Also depends on the regulations development of global sulfur cap

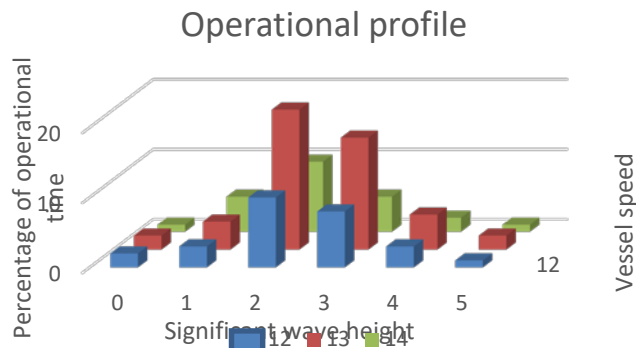
# Case studies – Hybrid propulsion system for a VLCC (Alwan et. al, 2017)





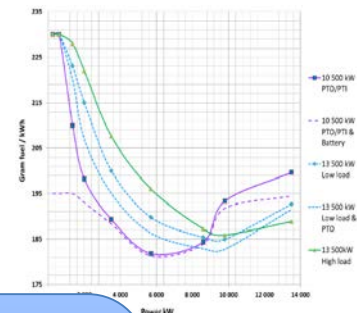
# Case studies – Hybrid propulsion system for a VLCC (Alwan et. al, 2017)

## Long-term Voyage simulation



Power system modeling  
Machine learning  
Data analysis  
Design of experiments  
Surrogate modeling  
Optimization  
Verification

## Fuel/Emission Calculation



Configuration  
Constraints  
Objectives  
Models

Design of Experiment  
Dynamic Performance  
Simulation  
Surrogate Modeling  
Optimization  
Verification of the result

## Power System Design

# Case studies – Hybrid propulsion system for a VLCC (Alwan et. al, 2017)

- Results

	Base [kg/m]	Optimum [kg/m]	$P_{ME}$ [MW]	$P_{PTI}$ [MW]	$P_{Gen}$ [MW]	$P_{Batt}$ [MW]
1	0.1641	0.1627 (↓0.85%)	24.93	1.884	1.802	1.079
2	0.1500	0.1492 (↓0.5%)	24.41	2.375	1.641	1.266
3	0.1352	0.1339 (↓0.96%)	23.93	3.554	1.239	2.606

Hs	Scenar io 1	Scenar io 2	Scenar io 3
0 m	5%	5%	5%
1 m	10%	10%	12%
2 m	10%	20%	45%
3 m	55%	55%	28%
4 m	20%	10%	10%

Speed [kts]	Frequency Speed
9	15%
11	50%
13	20%
15	15%

# Case studies – Hybrid propulsion system for a VLCC (Alwan et. al, 2017)

- Conclusion
  - High fidelity simulation models can be used for the design optimization using surrogate modeling framework
  - Metamodels were able to capture the complex physical behavior of the system and understanding parameters relationships
  - Demonstrated ability as a rapid design tool for testing multiple design alternatives and sharing design constraints across subsystems and system boundaries.

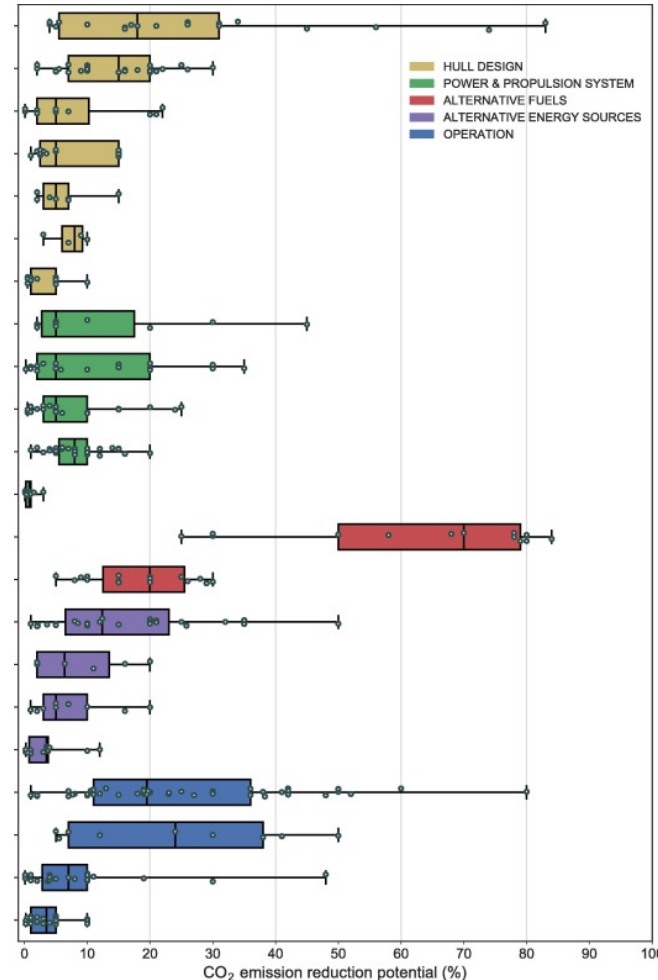
# Summary

- Deep-sea shipping is a key resource for global economic development.
- Pollutions from the shipping have been urgent problems, and IMO regulations have effectively addressed them.
- Challenges with GHG pose more complex problems with high uncertainties in a long horizon.
- Design thinking and decision support tools with capability of multi-level analysis are necessary to solve the problems.

# It is possible to reduce emissions per freight transport unit by 75% and above up to 2050

Bouman, E. A., Lindstad, E., Rialland, A. I., & Strømman, A. H. (2017). State-of-the-art technologies, measures, and potential for reducing GHG emissions from shipping – A review. *Transportation Research Part D*, 52, 408–421.

Vessel size  
Hull shape  
LW materials  
Air lubrication  
Resist. red. device  
Ballast water reduction  
Hull coating  
Hybrid power/propulsion  
Power system/machinery  
Prop. efficiency devices  
Waste heat recovery  
Onboard power demand  
Biofuels  
LNG  
Wind power  
Fuel cells  
Cold ironing  
Solar power  
Speed optimization  
Capacity utilization  
Voyage optimization  
Other operational meas.



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3. <http://www.worldshipping.org/>
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**Thank you for your attention.**

**Questions?**